

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/663,568
		Filing Date	September 15, 2003
		First Named Inventor	Steven Z. Wu
		Group Art Unit	Unassigned
		Examiner Name	Unassigned
Total Number of Pages in This Submission (excluding references)	13	Attorney Docket Number	50623.335

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Deposit Account 07-1850 Authorization	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Postage Paid Return Postcard	<input type="checkbox"/> Drawing(s) In/Formal ___ Sheets with Submission of Drawings Transmittal	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Issue Fee Transmittal with PTO-85b (in duplicate)	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Amendment Transmittal Letter (in duplicate)	<input type="checkbox"/> Request for Continued Examination Transmittal (RCE)	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Fee Transmittal Form (in duplicate)	<input type="checkbox"/> Request for Status of Application
<input type="checkbox"/> Petition for Extension of Time (___ months) (in duplicate)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Other Enclosure(s) (please identify below):
<input checked="" type="checkbox"/> Information Disclosure Statement (in duplicate) with Form PTO-1449 listing 205 References	<input type="checkbox"/> Terminal Disclaimer	
<input type="checkbox"/> Express Mail Label No.	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Squire, Sanders & Dempsey L.L.P. Paul J. Meyer, Jr., Reg. No. 47,791
Signature	
Date	October 14, 2003

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: October 14, 2003			
Typed or printed name	Judi Stillwell		
Signature		Date	October 14, 2003

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Examiner: Unassigned

Steven Z. Wu et al.

Serial No. 10/663,568

Art Unit: Unassigned

Filed: September 15, 2003

Title: Microparticle Coated Medical Device

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT
PURSUANT TO 37 C.F.R. §§1.97-1.98**

Dear Examiner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §§1.97-1.98, Applicants hereby notify the U.S. Patent and Trademark Office of the references listed on the attached Form PTO-1449. According to a Notice signed July 11, 2003, the U.S. Patent and Trademark Office has waived the requirement under 37 C.F.R. § 1.98(a)(2)(i) for all patent applications filed after June 30, 2003. Since this patent application was filed after June 30, 2003, Applicants have not provided copies of the cited U.S. patents. Copies of the cited foreign patent documents and non-patent literature have been submitted herewith.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants reserve the right to dispute the listed documents as prior art during examination. Furthermore, Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

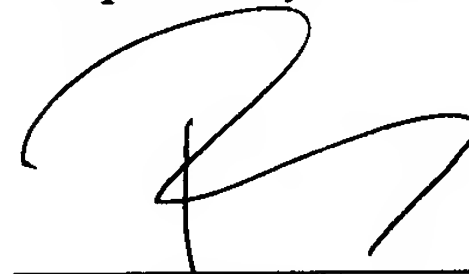
The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: October 19, 2003

SQUIRE, SANDERS & DEMPSEY L.L.P.
One Maritime Plaza, Suite 300
San Francisco, CA 94111
Telephone (415) 954-0200
Facsimile (415) 393-9887

Respectfully submitted,



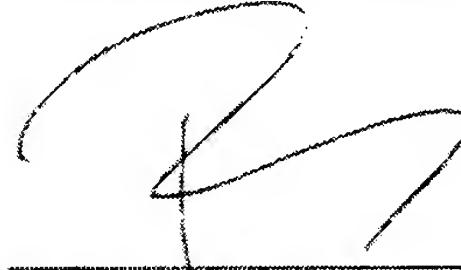
Paul J. Meyer, Jr.
Attorney for Applicants
Reg. No. 47,791

The present Information Disclosure Statement is being filed before receiving the first Office Action. Therefore, no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required. However, the Commissioner is authorized to charge any deficiencies or other amounts due to Deposit Account No. 07-1850.

Date: October 19, 2003

SQUIRE, SANDERS & DEMPSEY L.L.P.
One Maritime Plaza, Suite 300
San Francisco, CA 94111
Telephone (415) 954-0200
Facsimile (415) 393-9887

Respectfully submitted,



Paul J. Meyer, Jr.
Attorney for Applicants
Reg. No. 47.791

FORM PTO-1449 (Modified)

US DEPARTMENT OF COMMERCE

Docket No.

Application No.

Approved for use through 10/31/2002

US Patent and Trademark Office

50623.335

10/663,568

INFORMATION DISCLOSURE CITATION **in an Application**

(Use several sheets if necessary)

Applicant

Steven Z. Wu

Filing Date

September 15, 2003

Group Art Unit

Unassigned

U.S. PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A1	4,329,383	5/11/82	Joh	428	36	
	A2	4,733,665	3/29/88	Palmaz	128	343	
	A3	4,800,882	1/31/89	Gianturco	128	343	
	A4	4,882,168	11/21/89	Casey et al.	424	468	
	A5	4,886,062	12/12/89	Wiktor	128	343	
	A6	4,941,870	7/17/90	Okada et al.	600	36	
	A7	4,977,901	12/18/90	Ofstead	128	772	
	A8	5,112,457	5/12/92	Marchant	204	165	
	A9	5,165,919	11/24/92	Sasaki et al.	424	488	
	A10	5,272,012	12/21/93	Opolski	428	423.1	
	A11	5,292,516	3/8/94	Viegas et al.	424	423	
	A12	5,298,260	3/29/94	Viegas et al.	424	486	
	A13	5,300,295	4/5/94	Viegas et al.	424	427	
	A14	5,306,501	4/26/94	Viegas et al.	424	423	
	A15	5,328,471	7/12/94	Slepien	604	101	
	A16	5,330,768	7/19/94	Park et al.	424	501	
	A17	5,380,299	1/10/95	Fearnott et al.	604	265	
	A18	5,417,981	5/23/95	Endo et al.	424	486	
	A19	5,447,724	9/5/95	Helmus et al.	424	426	
	A20	5,455,040	10/3/95	Marchant	424	426	
	A21	5,462,990	10/31/95	Hubbell et al.	525	54.1	
	A22	5,464,650	11/7/95	Berg et al.	427	2.30	
	A23	5,569,463	10/29/96	Helmus et al.	424	426	

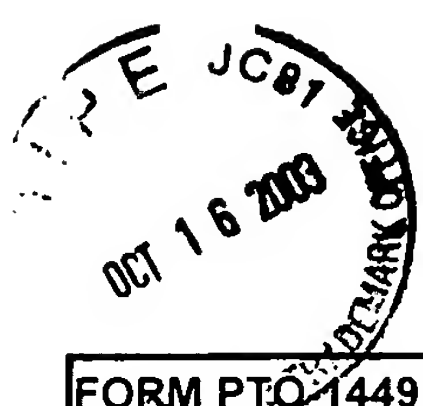
EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.



FORM PTO-1449 (Modified) Approved for use through 10/31/2002 INFORMATION DISCLOSURE CITATION in an Application (Use several sheets if necessary)		US DEPARTMENT OF COMMERCE US Patent and Trademark Office		Docket No. 50623.335		Application No. 10/663,568	
		Applicant Steven Z. Wu					
		Filing Date September 15, 2003		Group Art Unit Unassigned			
U.S. PATENT DOCUMENTS							
Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A24	5,578,073	11/26/96	Haimovich et al.	623	1	
	A25	5,605,696	2/25/97	Eury et al.	424	423	
	A26	5,609,629	3/11/97	Fearnot et al.	623	1	
	A27	5,624,411	4/29/97	Tuch	604	265	
	A28	5,628,730	5/13/97	Shapland et al.	604	21	
	A29	5,649,977	7/22/97	Campbell	623	1	
	A30	5,658,995	8/19/97	Kohn et al.	525	432	
	A31	5,665,382	9/9/97	Grinstaff et al.	424	450	
	A32	5,667,767	9/16/97	Greff et al.	424	9.411	
	A33	5,670,558	9/23/97	Onishi et al.	523	112	
	A34	5,679,400	10/21/97	Tuch	427	2.14	
	A35	5,700,286	12/23/97	Tartaglia et al.	623	1	
	A36	5,702,754	12/30/97	Zhong	427	2.12	
	A37	5,716,981	2/10/98	Hunter et al.	514	449	
	A38	5,735,897	4/7/98	Buirge	623	12	
	A39	5,746,998	5/5/98	Torchilin et al.	424	9.4	
	A40	5,776,184	7/7/98	Tuch	623	1	
	A41	5,788,979	8/4/98	Alt et al.	424	426	
	A42	5,800,392	9/1/98	Racchini	604	96	
	A43	5,820,917	10/13/98	Tuch	427	2.1	
	A44	5,824,048	10/20/98	Tuch	623	1	
	A45	5,824,049	10/20/98	Ragheb et al.	623	1	
EXAMINER				DATE CONSIDERED			
<small>EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</small>							



FORM PTO-1449 (Modified) Approved for use through 10/31/2002 INFORMATION DISCLOSURE CITATION in an Application (Use several sheets if necessary)	US DEPARTMENT OF COMMERCE US Patent and Trademark Office	Docket No. 50623.335	Application No. 10/663,568
	Applicant Steven Z. Wu		
	Filing Date September 15, 2003	Group Art Unit Unassigned	

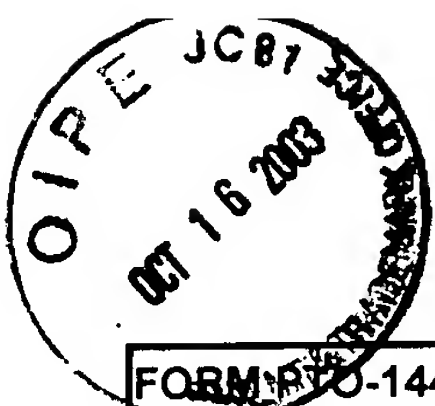
U.S. PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A46	5,830,178	11/3/98	Jones et al.	604	49	
	A47	5,837,008	11/17/98	Berg et al.	623	1	
	A48	5,837,313	11/17/98	Ding et al.	427	2.21	
	A49	5,851,508	12/22/98	Greff et al.	424	9.411	
	A50	5,858,746	1/12/99	Hubbell et al.	435	177	
	A51	5,865,814	2/2/99	Tuch	604	265	
	A52	5,869,127	2/9/99	Zhong	427	2.12	
	A53	5,873,904	2/23/99	Ragheb et al.	623	1	
	A54	5,876,433	3/2/99	Lunn	623	1	
	A55	5,877,224	3/2/99	Brocchini et al.	514	772.2	
	A56	5,925,720	7/20/99	Kataoka et al.	525	523	
	A57	5,955,509	9/21/99	Webber et al.	514	772.7	
	A58	5,971,954	10/26/99	Conway et al.	604	96	
	A59	5,980,928	11/9/99	Terry	424	427	
	A60	5,980,972	11/9/99	Ding	427	2.24	
	A61	5,997,517	12/7/99	Whitbourne	604	265	
	A62	6,010,530	1/4/00	Goicoechea	623	1	
	A63	6,015,541	1/18/00	Greff et al.	424	1.25	
	A64	6,033,582	3/7/00	Lee et al.	216	37	
	A65	6,042,875	3/28/00	Ding et al.	427	2.24	
	A66	6,051,648	4/18/00	Rhee et al.	525	54.1	
	A67	6,051,576	4/18/00	Ashton et al.	514	255	
	A68	6,056,993	5/2/00	Leidner et al.	427	2.25	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.



FORM PTO-1449 (Modified)
Approved for use through 10/31/2002

US DEPARTMENT OF COMMERCE
US Patent and Trademark Office

Docket No.
50623.335

Applicant
Steven Z. Wu

Filing Date
September 15, 2003

Application No.
10/663,568

Group Art Unit
Unassigned

INFORMATION DISCLOSURE CITATION
in an Application
(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A69	6,060,451	5/9/00	DiMaio et al.	514	13	
	A70	6,060,518	5/9/00	Kabanov et al.	514	781	
	A71	6,080,488	6/27/00	Hostettler et al.	428	423.3	
	A72	6,096,070	8/1/00	Ragheb et al.	623	1	
	A73	6,099,562	8/8/00	Ding et al.	623	1.46	
	A74	6,110,188	8/29/00	Narciso, Jr.	606	153	
	A75	6,110,483	8/29/00	Whitbourne et al.	424	423	
	A76	6,113,629	9/5/00	Ken	623	1.1	
	A77	6,120,536	9/19/00	Ding et al.	623	1.43	
	A78	6,120,904	9/19/00	Hostettler et al.	428	423.3	
	A79	6,121,027	9/19/00	Clapper et al.	435	180	
	A80	6,129,761	10/10/00	Hubbell	623	11	
	A81	6,153,252	11/28/00	Hossainy et al.	427	2.3	
	A82	6,165,212	12/26/00	Dereume et al.	623	1.13	
	A83	6,203,551	3/20/01	Wu	606	108	
	A84	6,231,600	5/15/01	Zhong	623	1.42	
	A85	6,240,616	6/5/01	Yan	29	527.2	
	A86	6,245,753	6/12/01	Byun et al.	514	56	
	A87	6,251,136	6/26/01	Guruwaiya et al.	623	1.46	
	A88	6,254,632	7/3/01	Wu et al.	623	1.15	
	A89	6,258,121	7/10/01	Yang et al.	623	1.46	
	A90	6,283,947	9/4/01	Mirzaee	604	264	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)

US DEPARTMENT OF COMMERCE

Docket No.

Application No.

Approved for use through 10/31/2002

US Patent and Trademark Office

50623.335

10/663,568

INFORMATION DISCLOSURE CITATION **in an Application**

(Use several sheets if necessary)

Applicant

Steven Z. Wu

Filing Date

September 15, 2003

Group Art Unit

Unassigned

U.S. PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A91	6,283,949	9/4/01	Roorda	604	288.02	
	A92	6,284,305	9/4/01	Ding et al.	427	2.28	
	A93	6,287,628	9/11/01	Hossainy et al.	427	2.3	
	A94	6,299,604	10/9/01	Ragheb et al.	604	265	
	A95	6,306,176	10/23/01	Whitbourne	623	23.59	
	A96	6,331,313	12/18/01	Wong et al.	424	427	
	A97	6,335,029	1/1/02	Kamath et al.	424	423	
	A98	6,346,110	2/12/02	Wu	606	108	
	A99	6,358,556	3/19/02	Ding et al.	427	2.24	
	A100	6,379,381	4/30/02	Hossainy et al.	623	1.42	
	A101	6,395,326	5/28/02	Castro et al.	427	2.24	
	A102	6,419,692	7/16/02	Yang et al.	623	1.15	
	A103	6,451,373	9/17/02	Hossainy et al.	427	2.25	8/4/00
	A104	6,494,862	12/17/02	Ray et al.	604	96.01	12/30/99
	A105	6,503,556	1/7/03	Harish et al.	427	2.24	12/28/00
	A106	6,503,954	1/7/03	Bhat et al.	514	772.2	7/21/00
	A107	6,506,437	1/14/03	Harish et al.	427	2.25	10/17/00
	A108	6,527,801	3/4/03	Dutta	623	1.46	4/13/00
	A109	6,527,863	3/4/03	Pacetti et al.	118	500	6/29/01
	A110	6,540,776	4/1/03	Sanders Millare et al.	623	1.15	12/28/00

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
 Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)

US DEPARTMENT OF COMMERCE

Docket No.

Application No.

Approved for use through 10/31/2002

US Patent and Trademark Office

50623.335

10/663,568

INFORMATION DISCLOSURE CITATION **in an Application**

(Use several sheets if necessary)

Applicant

Steven Z. Wu

Filing Date

September 15, 2003

Group Art Unit

Unassigned

U.S. PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Patent	Name	Class	Subclass	Filing Date if Appropriate
	A111	6,544,223	4/8/03	Kokish	604	103.01	1/5/01
	A112	6,544,543	4/8/03	Mandrusov et al.	424	422	12/27/00
	A113	6,544,582	4/8/03	Yoe	427	2.24	1/5/01
	A114	6,555,157	4/29/03	Hossainy	427	2.24	7/25/00
	A115	6,558,733	5/6/03	Hossainy et al.	427	2.24	10/26/00
	A116	6,565,659	5/20/03	Pacetti et al.	118	500	6/28/01
	A117	6,572,644	6/3/03	Moein	623	1.11	6/27/01
	A118	6,585,765	7/1/03	Hossainy et al.	623	1.45	6/29/00
	A119	6,585,926	7/1/03	Mirzaee	264	400	8/31/00
	A120	6,605,154	8/12/03	Villareal	118	500	5/31/01

U.S. PATENT APPLICATION PUBLICATION DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Publication	Name	Class	Subclass	Filing Date if Appropriate
	A121	2001/0018469	8/30/01	Chen et al.	523	121	
	A122	2001/0037145	11/1/01	Guruwaiya et al.	623	1.15	
	A123	2002/0077693	6/20/02	Barclay et al.	623	1.13	
	A124	2002/0091433	7/11/02	Ding et al.	623	1.2	
	A125	2002/0155212	10/24/02	Hossainy	427	2.25	4/24/01
	A126	2003/0065377	4/3/03	Davila et al.	623	1.13	4/30/02
	A127	2003/0099712	5/29/03	Jayaraman	424	486	11/26/01

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) Approved for use through 10/31/2002 INFORMATION DISCLOSURE CITATION in an Application (Use several sheets if necessary)	US DEPARTMENT OF COMMERCE US Patent and Trademark Office	Docket No. <div style="text-align: center;">50623.335</div>	Application No. <div style="text-align: center;">10/663,568</div>
		Applicant <div style="text-align: center;">Steven Z. Wu</div>	
		Filing Date <div style="text-align: center;">September 15, 2003</div>	Group Art Unit <div style="text-align: center;">Unassigned</div>

FOREIGN PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Publication	Country	Class	Subclass	Translation	
							Yes	No
	B1	EP 0 301 856	2/1/89	European				
	B2	EP 0 514 406	11/25/92	European				
	B3	EP 0 604 022	6/29/94	European				
	B4	EP 0 623 354	11/9/94	European				
	B5	EP 0 665 023	8/2/95	European				
	B6	EP 0 701 802	3/20/96	European				
	B7	EP 0 716 836	6/19/96	European				
	B8	EP 0 809 999	12/3/97	European				
	B9	EP 0 832 655	4/1/98	European				
	B10	EP 0 850 651	7/1/98	European				
	B11	EP 0 879 595	11/25/98	European				
	B12	EP 0 910 584	4/28/99	European				
	B13	EP 0 923 953	6/23/99	European				
	B14	EP 0 953 320	11/3/99	European				
	B15	EP 0 970 711	1/12/00	European				
	B16	EP 0 982 041	3/1/00	European				
	B17	EP 1 273 314	1/8/03	European				
	B18	2001-190687	7/17/01	Japan (Abstract)			X	
	B19	WO 91/12846	9/5/91	PCT				
	B20	WO 95/10989	4/27/95	PCT				
	B21	WO 96/40174	12/19/96	PCT				

EXAMINER	DATE CONSIDERED
----------	-----------------

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
 Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)

US DEPARTMENT OF COMMERCE

Docket No.

Application No.

Approved for use through 10/31/2002

US Patent and Trademark Office

50623.335

10/663,568

INFORMATION DISCLOSURE CITATION **in an Application**

(Use several sheets if necessary)

Applicant

Steven Z. Wu

Filing Date

September 15, 2003

Group Art Unit

Unassigned

FOREIGN PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Publication	Country	Class	Subclass	Translation	
							Yes	No
	B22	WO 97/10011	3/20/97	PCT				
	B23	WO 97/45105	12/4/97	PCT				
	B24	WO 97/46590	12/11/97	PCT				
	B25	WO 98/17331	4/30/98	PCT				
	B26	WO 98/36784	8/27/98	PCT				
	B27	WO 99/01118	1/14/99	PCT				
	B28	WO 99/38546	8/5/99	PCT				
	B29	WO 99/63981	12/16/99	PCT				
	B30	WO 00/02599	1/20/00	PCT				
	B31	WO 00/12147	3/9/00	PCT				
	B32	WO 00/18446	4/6/00	PCT				
	B33	WO 00/64506	11/2/00	PCT				
	B34	WO 01/01890	1/11/01	PCT				
	B35	WO 01/15751	3/8/01	PCT				
	B36	WO 01/17577	3/15/01	PCT				
	B37	WO 01/45763	6/28/01	PCT				
	B38	WO 01/49338	7/12/01	PCT				
	B39	WO 01/74414	10/11/01	PCT				
	B40	WO 02/03890	1/17/02	PCT				
	B41	WO 02/026162	4/4/02	PCT				
	B42	WO 02/34311	5/2/02	PCT				
	B43	WO 02/056790	7/25/02	PCT				
	B44	WO 03/000308	1/3/03	PCT				

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified)

US DEPARTMENT OF COMMERCE

Docket No.

Application No.

Approved for use through 10/31/2002

US Patent and Trademark Office

50623.335

10/663,568

INFORMATION DISCLOSURE CITATION **in an Application**

(Use several sheets if necessary)

Applicant

Steven Z. Wu

Filing Date

September 15, 2003

Group Art Unit

Unassigned

FOREIGN PATENT DOCUMENTS

Examiner Initial	Ref. No.	Document Number	Date of Publication	Country	Class	Subclass	Translation	
							Yes	No
	B45	WO 03/022323	3/20/03	PCT				
	B46	WO 03/028780	4/10/03	PCT				
	B47	WO 03/037223	5/8/03	PCT				
	B48	WO 03/039612	5/15/03	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

	C1	Anonymous, <i>Cardiologists Draw - Up The Dream Stent</i> , Clinica 710:15 (June 17, 1996), http://www.dialogweb.com/cgi/document?req=1061848202959 , printed 8/25/03 (2 pages).						
	C2	Anonymous, <i>Heparin-coated stents cut complications by 30%</i> , Clinica 732:17 (Nov. 18, 1996), http://www.dialogweb.com/cgi/document?req=1061847871753 , printed 8/25/03 (2 pages).						
	C3	Anonymous, <i>Rolling Therapeutic Agent Loading Device for Therapeutic Agent Delivery or Coated Stent</i> (Abstract 434009), Res. Disclos. pp. 974-975 (June 2000).						
	C4	Anonymous, <i>Stenting continues to dominate cardiology</i> , Clinica 720:22 (Sept. 2, 1996), http://www.dialogweb.com/cgi/document?req=1061848017752 , printed 8/25/03 (2 pages).						
	C5	Aoyagi et al., <i>Preparation of cross-linked aliphatic polyester and application to thermo-responsive material</i> , Journal of Controlled Release 32:87-96 (1994).						
	C6	Barath et al., <i>Low Dose of Antitumor Agents Prevents Smooth Muscle Cell Proliferation After Endothelial Injury</i> , JACC 13(2): 252A (Abstract) (Feb. 1989).						
	C7	Barbucci et al., <i>Coating of commercially available materials with a new heparinizable material</i> , J. Biomed. Mater. Res. 25:1259-1274 (Oct. 1991).						
	C8	Chung et al., <i>Inner core segment design for drug delivery control of thermo-responsive polymeric micelles</i> , Journal of Controlled Release 65:93-103 (2000).						
	C9	Dev et al., <i>Kinetics of Drug Delivery to the Arterial Wall Via Polyurethane-Coated Removable Nitinol Stent: Comparative Study of Two Drugs</i> , Catheterization and Cardiovascular Diagnosis 34:272-278 (1995).						
	C10	Dichek et al., <i>Seeding of Intravascular Stents with Genetically Engineered Endothelial Cells</i> , Circ. 80(5):1347-1353 (Nov. 1989).						
	C11	Eigler et al., <i>Local Arterial Wall Drug Delivery from a Polymer Coated Removable Metallic Stent: Kinetics, Distribution, and Bioactivity of Forskolin</i> , JACC, 4A (701-1), Abstract (Feb. 1994).						
	C12	Helmus, <i>Overview of Biomedical Materials</i> , MRS Bulletin, pp. 33-38 (Sept. 1991).						
	C13	Herdeg et al., <i>Antiproliferative Stent Coatings: Taxol and Related Compounds</i> , Semin. Intervent. Cardiol. 3:197-199 (1998).						

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.

Include copy of this form with next communication to applicant.



FORM PTO-1449 (Modified)

US DEPARTMENT OF COMMERCE

Approved for use through 10/31/2002

US Patent and Trademark Office

Docket No.

50623.335

Application No.

10/663,568

INFORMATION DISCLOSURE CITATION

in an Application

(Use several sheets if necessary)

Applicant

Steven Z. Wu

Filing Date

September 15, 2003

Group Art Unit

Unassigned

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

C14	Inoue et al., <i>An AB block copolymer of oligo(methyl methacrylate) and poly(acrylic acid) for micellar delivery of hydrophobic drugs</i> , Journal of Controlled Release 51:221-229 (1998).
C15	Kataoka et al., <i>Block copolymer micelles as vehicles for drug delivery</i> , Journal of Controlled Release 24:119-132 (1993).
C16	Levy et al., <i>Strategies For Treating Arterial Restenosis Using Polymeric Controlled Release Implants</i> , Biotechnol. Bioact. Polym. [Proc. Am. Chem. Soc. Symp.], pp. 259-268 (1994).
C17	Liu et al., <i>Drug release characteristics of unimolecular polymeric micelles</i> , Journal of Controlled Release 68:167-174 (2000).
C18	Marconi et al., <i>Covalent bonding of heparin to a vinyl copolymer for biomedical applications</i> , Biomaterials 18(12):885-890 (1997).
C19	Matsumaru et al., <i>Embolic Materials For Endovascular Treatment of Cerebral Lesions</i> , J. Biomater. Sci. Polymer Edn 8(7):555-569 (1997).
C20	Miyazaki et al., <i>Antitumor Effect of Implanted Ethylene-Vinyl Alcohol Copolymer Matrices Containing Anticancer Agents on Ehrlich Ascites Carcinoma and P388 Leukemia in Mice</i> , Chem. Pharm. Bull. 33(6) 2490-2498 (1985).
C21	Miyazawa et al., <i>Effects of Pemirolast and Tranilast on Intimal Thickening After Arterial Injury in the Rat</i> , J. Cardiovasc. Pharmacol., pp. 157-162 (1997).
C22	Nordrehaug et al., <i>A novel biocompatible coating applied to coronary stents</i> , European Heart Journal 14, p. 321 (P1694), Abstr. Suppl. (1993).
C23	Ohsawa et al., <i>Preventive Effects of an Antiallergic Drug, Pemirolast Potassium, on Restenosis After Percutaneous Transluminal Coronary Angioplasty</i> , American Heart Journal 136(6):1081-1087 (Dec. 1998).
C24	Ozaki et al., <i>New Stent Technologies</i> , Progress in Cardiovascular Diseases, Vol. XXXIX(2):129-140 (Sept./Oct. 1996).
C25	Pechar et al., <i>Poly(ethylene glycol) Multiblock Copolymer as a Carrier of Anti-Cancer Drug Doxorubicin</i> , Bioconjugate Chemistry 11(2):131-139 (Mar./Apr. 2000).
C26	Peng et al., <i>Role of polymers in improving the results of stenting in coronary arteries</i> , Biomaterials 17:685-694 (1996).
C27	Shigeno, <i>Prevention of Cerebrovascular Spasm By Bosentan, Novel Endothelin Receptor</i> , Chemical Abstract 125:212307 (1996).
C28	van Beusekom et al., <i>Coronary stent coatings</i> , Coronary Artery Disease 5(7):590-596 (July 1994).
C29	Wilensky et al., <i>Methods and Devices for Local Drug Delivery in Coronary and Peripheral Arteries</i> , Trends Cardiovasc. Med. 3(5):163-170 (1993).
C30	Yokoyama et al., <i>Characterization of physical entrapment and chemical conjugation of adriamycin in polymeric micelles and their design for in vivo delivery to a solid tumor</i> , Journal of Controlled Release 50:79-92 (1998).

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
Include copy of this form with next communication to applicant.